

RECEIPT
IFW

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Missing Parts

Appl. No. : 10/524,778 Confirmation No. 8727
Applicant : Shigeru UMENO et al.
Filed : February 16, 2005
TC/A.U. : 2812
Examiner : Unknown
Dkt. No. : ABE-026
Cust. No. : 20374

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

July 25, 2005

**Attn: Office of Initial Patent Examination's
Filing Receipt Corrections**

Sir:

A corrected filing receipt is respectfully requested for the above-identified application.

The filing receipt incorrectly identifies the second inventor's name as "Masakata". The second inventor's name should be correctly identified as --Masataka--.

The Foreign Application information is not identified. The Foreign Application information should be correctly identified as

U.S. PATENT APPLN. S.N. 10/524,778
REQUEST FOR CORRECTED FILING RECEIPT

--2003-37523 Japan 02/14/2003--.

Information relating to Power of Attorney is also not correct.
In addition to Keiko Kubovcik-40,428, --Ronald Kubovcik-25,401--
should be identified under Power of Attorney.

A copy of the filing receipt with the errors marked in red is
enclosed. A copy of the declaration is also enclosed.

In the event any fees are required, please charge our Deposit
Account No. 111833.

Respectfully submitted,

KUBOVCIK & KUBOVCIK



Keiko Tanaka Kubovcik
Reg. No. 40,428

Atty. Case No. ABE-026
The Farragut Building
Suite 710
900 17th Street, N.W.
Washington, D.C. 20006
Tel: (202) 887-9023
Fax: (202) 887-9093
KTK/jbf/jjk

Enclosures: Marked-up Filing Receipt
 Copy of Declaration

COPY



Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled (INSERT TITLE) Manufacturing method of silicon wafer,

the specification of which

(Check one of 1, 2, or 3.)

1. ☐ is attached hereto.
2. ☒ was filed on December 19, 2003 as
International PCT Application No. PCT/JP2003/016441
and was amended on _____
(if applicable)
3. ☐ was filed on _____ as
U.S. Application Serial No. _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the content of the above - identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed:

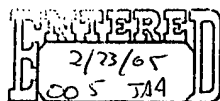
(List prior foreign applications.)	2003-37523	Japan	14/02/2003	Priority Claimed
	(Number)	(Country)	(Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	
	_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	

☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120, of any United States patent application listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)
--------------------------	---------------	----------

And I hereby appoint as principal attorney and agent Ronald J. Kubovcik, Reg. No. 25,401; and Keiko T. Kubovcik, Reg. No. 40,428.



Please direct all communications to the following address:

Kubovcik & Kubovcik
The Farragut Building
Suite 710
900 17th Street, N.W.
Washington, D.C. 20006
Phone: (202) 887-9023
Fax: (202) 887-9093

I hereby declare that all statements made here in of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first or sole inventor: Shigeru Umeno

Inventor's Signature: Shigeru Umeno Date: Oct. 8, 2004

Residence: c/o Sumitomo Mitsubishi Silicon Corporation, 2-1, Shibaura 1-chome, Minato-ku,
Tokyo 105-8634, Japan

Citizenship: Japan

Post Office Address: Same as residence

Full name of second inventor: Masataka Hourai

Inventor's Signature: Masataka Hourai Date: Oct. 8, 2004

Residence: c/o Sumitomo Mitsubishi Silicon Corporation, 2-1, Shibaura 1-chome, Minato-ku,
Tokyo 105-8634, Japan

Citizenship: Japan

Post Office Address: Same as residence

KUBOVCIK & KUBOVCIK

Docket No. _____

Full name of third inventor: Masakazu Sano

Inventor's Signature: Masakazu Sano Date: Oct. 8, 2004

Residence: c/o Sumitomo Mitsubishi Silicon Corporation, 2-1, Shibaura 1-chome, Minato-ku,
Tokyo 105-8634, Japan

Citizenship: Japan

Post Office Address: Same as residence

Full name of fourth inventor: Shinichiro Miki

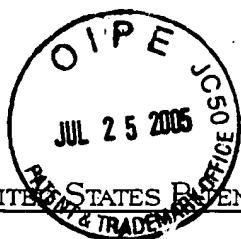
Inventor's Signature: Shin. Miki Date: Oct. 8, 2004

Residence: c/o Sumitomo Mitsubishi Silicon Corporation, 2-1, Shibaura 1-chome, Minato-ku,
Tokyo 105-8634, Japan

Citizenship: Japan

Post Office Address: Same as residence

COPY

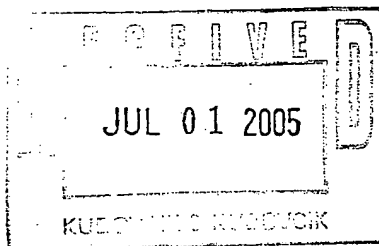


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/524,778	02/16/2005	2812	1500	ABE-026	1	28	4

Kubovcik & Kubovcik
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CONFIRMATION NO. 8727

FILING RECEIPT



OC000000016381095

Date Mailed: 06/28/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shigeru Umeno, Tokyo, JAPAN;
 Masataka Masakata Hourai, Tokyo, JAPAN;
 Masakazu Sano, Tokyo, JAPAN;
 Shinichiro Miki, Tokyo, JAPAN;

Power of Attorney:

Keiko Kubovcik-40428

Ronald Kubovcik-25401

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/16441 12/19/2003

Foreign Applications

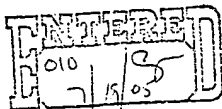
2003-37523 Japan 02/14/2003

Projected Publication Date: 10/06/2005

Non-Publication Request: No

Early Publication Request: No

Title



Manufacturing method of silicon wafer

Preliminary Class

438

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).